

# **RECOGNITION SYSTEM AND METHOD FOR USER INPUTS TO A COMPUTER SYSTEM**

This is a continuation of application Ser. No. 07/889,216  
filed May 27, 1992 now abandoned.

## **BACKGROUND OF THE INVENTION**

The present invention relates generally to recognition of  
user inputs to a computer system such as a pen-based  
computer system and, more particularly, to methods for  
analyzing the user inputs and providing interpretations of  
those user inputs.

A pen-based computer system is a small, often hand-held,  
computer system where the primary method for inputting  
data includes a "pen" or stylus. A typical pen-based com-  
puter system is housed in a small, rectangular enclosure, and  
has a dual-function display assembly having a viewing  
screen exposed along one of the planar sides of the en-  
closure. The dual-function display serves as both an input  
device and an output device. When operating as an input  
device, the display assembly senses the position of the tip of  
the stylus on the viewing screen and provides this informa-  
tion to the computer's central processing unit (CPU). Some  
display assemblies can also sense the pressure of the stylus  
on the screen to provide further information to the CPU.  
When operating as an output device, the screen displays  
computer-generated images developed by the CPU.

The dual-function display assemblies of pen-based com-  
puter systems permit users to operate the computer as a  
computerized notepad. For example, graphical images can  
be input into the pen-based computer by merely moving the  
stylus over the surface of the screen. As the CPU senses the  
position and movement of the stylus, it generates a corre-  
sponding image on the screen to create the illusion that the  
stylus is drawing the image directly upon the screen. With  
suitable recognition software, text and numeric information  
can also be entered into the pen-based computer system in  
a similar fashion.

What is needed is a system for recognition of user inputs  
to a computer system such as a pen-based system and for  
analyzing the user inputs to determine possible meanings.

## **SUMMARY OF THE INVENTION**

The present invention provides a system and a method for  
analyzing and interpreting user inputs to a computer, such as  
a hand-held, pen-based computer system, described herein.  
Inputs are received at a user interface, such as a dual  
function display/input screen, from users in the form of pen  
strokes or gestures. These pen strokes or gestures are prefer-  
ably input into the CPU as an array of X and Y data points  
corresponding to the path of a stylus across the display/input  
screen. A database stores the input data points and hypoth-  
eses regarding possible interpretations of the strokes repre-  
sented by the array of data points. Recognition of the input  
strokes and recognition of higher level combinations of  
strokes (forming characters and words, etc.) is performed  
using recognition domains, each of which performs a partic-  
ular recognition task. A controller is provided for the  
recognition domains. An arbiter is provided for resolving  
conflicts among competing hypotheses

The recognition domains, or recognizers generate one or  
more competing interpretations for the same input. The  
recognizers use a data structure called a unit, where a unit is  
a set of subhypotheses together with all their interpretations

generated by a single recognizer. An interpretation is a  
description of a particular portion of the input data (strokes),  
where the description is based on the strokes or on other  
lower-level interpretations. A recognizer operates at a first  
level for identifying one or more groups of related subhy-  
potheses using the unit absent any interpretations and stores  
the unit in the database in piece-pool memory. A recognizer  
has a second level of operation where each unit generated in  
the grouping stage is classified to provide the unit with one  
or more interpretations. The classified unit is stored in a unit  
pool memory.

One or more interpretations of the input data are com-  
bined in a hierarchical structure according to a predeter-  
mined scheme in successive steps to form higher level  
interpretations.

For a given hierarchy, an independent recognition domain  
has two required parts and one optional part. The required  
parts are: (1) information to establish the position of the  
domain in the hierarchy, and (2) knowledge about how to  
perform a particular recognition task; and the optional part  
is conditions and context constraints that the input data has  
to satisfy to be considered for recognition by a recognizer.  
The information to establish a domain as a part of a given  
hierarchy is information about which type of hypotheses the  
domain takes as input and information about which type of  
hypothesis the domain generates so that the type of input  
hypotheses for a domain uniquely establishes its position in  
the hierarchy. The knowledge on how to perform a particular  
recognition task is subdivided into two parts, where one part  
is the grouping knowledge for deciding which of the avail-  
able strokes or hypotheses should be considered as a whole  
to form a new interpretation, and where the other part is  
classification knowledge for generating a list of interpreta-  
tions from a given set of hypotheses.

A hypothesis is removed from the database when it  
reaches the top of the recognition hierarchy and any conflicts  
with another hypothesis have been resolved by the arbitra-  
tion process. When a hypothesis is removed from the  
database, its supporting hierarchy of hypotheses, down to the  
constituent strokes, is removed from the database and all  
other hypotheses in the database that refer to the these  
constituent strokes, or the hypotheses that use them, are  
removed.

The means for extracting information from each isolated  
portion of the input data, the means for assigning an inter-  
pretation to each portion of the input data; the means for  
combining two or more interpretations of the input data to  
form new more integrated interpretations; the additional  
means for combining two or more new interpretations to  
form newer interpretations until a fully integrated interpre-  
tation of the input data is formed, and the means for  
combining two or more interpretations of the input data to  
form new more integrated interpretations includes a recog-  
nition hierarchy for the task of recognizing, for example,  
handwritten words and simple graphical shapes.

The controller, or control unit, includes means for deduc-  
ing from the information provided by the recognizers, or  
domains, precisely which domains should be active within a  
specific area of the user-input screen of, for example, a  
pen-based system. For recognition of words, the control unit  
uses a character-part domain, a character domain, and a  
word domain within that specific area. Means are provided  
for pre-computing for each recognition area of a user screen  
what action the recognizer should take when an input of each  
of the expected types is seen within that area.

The system can resolve conflicts among competing